

03-06-00

A

Please type a plus sign (+) inside this box

+

PTO/SB/05 (4/98)
Approved for use through 09/30/2000. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

03/02/00

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	002114.P005
First Inventor or Application Identifier	Jonathan Edwards
Title	OBTAINING USER RESPONSES IN A VIRTUAL EXECUTION ENVIRONMENT
Express Mail Label No.	EL414970845US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)
2. ☒ Specification [Total Pages 22]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the invention
 - Brief Summary of the invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
3. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 7]
4. Oath or Declaration [Total Pages 4]
 - a. ☒ Newly executed (original copy)
 - b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/divisional with Box 16 completed)
 - i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR §§ 1.63(d)(2) and 1.33(b).

5. ☐ Microfiche Computer Program (Appendix)
6. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. ☐ Computer Readable Copy
 - b. ☐ Paper Copy (identical to computer copy)
 - c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

7. ☒ Assignment Papers (cover sheet & document(s))
8. ☐ 37 C.F.R. § 3.73(b) Statement (when there is an assignee) ☒ Power of Attorney
9. ☐ English Translation Document (if applicable)
10. ☐ Information Disclosure Statement (IDS)/PTO - 1449 ☐ Copies of IDS Citations
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
13. ☐ *Small Entity Statement(s) ☐ Statement filed in prior application, Status still proper and desired
14. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
15. ☐ Other:


***NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).**

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:
☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: _____
Prior application Information: Examiner: _____ Group/Art Unit: _____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

<input type="checkbox"/> Customer Number of Bar Code Label	(Insert Customer No. or Attach bar code label here)	or <input checked="" type="checkbox"/> Correspondence address below
Name	BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP	
Address	12400 Wilshire Boulevard, Seventh Floor	
City	Los Angeles	State California Zip Code 90025
Country	U.S.A.	Telephone (503) 684-6200 Fax (503) 684-3245

Name (Print/Type)	Steven D. Yates, Reg. No. 42,242		
Signature		Date	03/02/00

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

Please type a plus sign (+) inside this box → +

PTO/SB/17 (6/99)
Approved for use through 09/30/2000. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<h2 style="margin: 0;">FEE TRANSMITTAL</h2> <h3 style="margin: 0;">for FY 1999</h3> <p style="font-size: small; margin: 5px 0;">Patent fees are subject to annual revision. Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12. See 37 C.F.R §§ 1.27 and 1.28.</p>		<p>Complete if Known</p>													
<p>TOTAL AMOUNT OF PAYMENT</p>		<p>(\$) 730.00</p>	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">Application Number</td> <td style="width: 50%;"></td> </tr> <tr> <td>Filing Date</td> <td>March 2, 2000</td> </tr> <tr> <td>First Named Inventor</td> <td>Jonathan Edwards</td> </tr> <tr> <td>Examiner Name</td> <td></td> </tr> <tr> <td>Group/Art Unit</td> <td></td> </tr> <tr> <td>Attorney Docket No.</td> <td>002114.P005</td> </tr> </table>	Application Number		Filing Date	March 2, 2000	First Named Inventor	Jonathan Edwards	Examiner Name		Group/Art Unit		Attorney Docket No.	002114.P005
Application Number															
Filing Date	March 2, 2000														
First Named Inventor	Jonathan Edwards														
Examiner Name															
Group/Art Unit															
Attorney Docket No.	002114.P005														

<p style="text-align: center;">METHOD OF PAYMENT (check one)</p> <p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:</p> <div style="border: 1px solid black; padding: 5px; margin: 5px 0;"> <p>Deposit Account Number: 02-2666</p> <p>Deposit Account Name: Blakely, Sokoloff, Taylor & Zafman LLP</p> </div> <p><input checked="" type="checkbox"/> Charge Any Additional Fees Required Under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.</p> <p>2. <input checked="" type="checkbox"/> Payment Enclosed:</p> <p><input checked="" type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other</p> <p style="text-align: center;">FEE CALCULATION</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th colspan="2">1. BASIC FILING FEE</th> <th></th> </tr> <tr> <th>Large Entity</th> <th>Small Entity</th> <th></th> </tr> <tr> <th>Fee Code</th> <th>Fee Code</th> <th>Fee Description</th> </tr> <tr> <td>101 690</td> <td>201 345</td> <td>Utility filing fee</td> </tr> <tr> <td>106 310</td> <td>206 155</td> <td>Design filing fee</td> </tr> <tr> <td>107 480</td> <td>207 240</td> <td>Plant filing fee</td> </tr> <tr> <td>108 690</td> <td>208 345</td> <td>Reissue filing fee</td> </tr> <tr> <td>114 150</td> <td>214 75</td> <td>Provisional filing fee</td> </tr> <tr> <td colspan="2" style="text-align: right;">SUBTOTAL (1)</td> <td>(\$) 690.00</td> </tr> </table> <p>2. EXTRA CLAIM FEES</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th>Total Claims</th> <th>Extra Claims</th> <th>Fee from below</th> <th>Fee Paid</th> </tr> <tr> <td>20 - 20 = 0</td> <td>0 X</td> <td>18.00</td> <td>0</td> </tr> <tr> <td>3 - 3 = 0</td> <td>0 X</td> <td>78.00</td> <td></td> </tr> <tr> <td colspan="2"></td> <td></td> <td></td> </tr> </table> <p><small>*or number previously paid, if greater, For Reissues, see below</small></p> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th>Large Entity</th> <th>Small Entity</th> <th></th> </tr> <tr> <th>Fee Code</th> <th>Fee Code</th> <th>Fee Description</th> </tr> <tr> <td>103 18</td> <td>203 9</td> <td>Claims in excess of 20</td> </tr> <tr> <td>102 78</td> <td>202 39</td> <td>Independent claims in excess of 3</td> </tr> <tr> <td>104 260</td> <td>204 130</td> <td>Multiple Dependent claim, if not paid</td> </tr> <tr> <td>109 78</td> <td>209 39</td> <td>**Reissue independent claims over original patent</td> </tr> <tr> <td>110 18</td> <td>210 9</td> <td>**Reissue claims in excess of 20 and over original patent</td> </tr> <tr> <td colspan="2" style="text-align: right;">SUBTOTAL (2)</td> <td>(\$) 0.00</td> </tr> </table>	1. BASIC FILING FEE			Large Entity	Small Entity		Fee Code	Fee Code	Fee Description	101 690	201 345	Utility filing fee	106 310	206 155	Design filing fee	107 480	207 240	Plant filing fee	108 690	208 345	Reissue filing fee	114 150	214 75	Provisional filing fee	SUBTOTAL (1)		(\$) 690.00	Total Claims	Extra Claims	Fee from below	Fee Paid	20 - 20 = 0	0 X	18.00	0	3 - 3 = 0	0 X	78.00						Large Entity	Small Entity		Fee Code	Fee Code	Fee Description	103 18	203 9	Claims in excess of 20	102 78	202 39	Independent claims in excess of 3	104 260	204 130	Multiple Dependent claim, if not paid	109 78	209 39	**Reissue independent claims over original patent	110 18	210 9	**Reissue claims in excess of 20 and over original patent	SUBTOTAL (2)		(\$) 0.00	<p style="text-align: center;">FEE CALCULATION (continued)</p> <p>3. ADDITIONAL FEE</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th>Large Entity</th> <th>Small Entity</th> <th></th> <th>Fee Description</th> <th>Fee Paid</th> </tr> <tr> <th>Fee Code</th> <th>Fee Code</th> <th>Fee (\$)</th> <th></th> <th></th> </tr> <tr> <td>105 130</td> <td>205 65</td> <td></td> <td>Surcharge - late filing fee or oath</td> <td></td> </tr> <tr> <td>127 50</td> <td>227 25</td> <td></td> <td>Surcharge - late provisional filing fee or cover sheet.</td> <td></td> </tr> <tr> <td>139 130</td> <td>139 130</td> <td></td> <td>Non-English specification</td> <td></td> </tr> <tr> <td>147 2,520</td> <td>147 2,520</td> <td></td> <td>For filing a request for reexamination</td> <td></td> </tr> <tr> <td>112 920*</td> <td>112 920*</td> <td></td> <td>Requesting publication of SIR prior to Examiner action</td> <td></td> </tr> <tr> <td>113 1,840*</td> <td>113 1,840*</td> <td></td> <td>Requesting publication of SIR after Examiner action</td> <td></td> </tr> <tr> <td>115 110</td> <td>215 55</td> <td></td> <td>Extension for response within first month</td> <td></td> </tr> <tr> <td>116 380</td> <td>216 190</td> <td></td> <td>Extension for response within second month</td> <td></td> </tr> <tr> <td>117 870</td> <td>217 435</td> <td></td> <td>Extension for response within third month</td> <td></td> </tr> <tr> <td>118 1,210</td> <td>218 680</td> <td></td> <td>Extension for response within fourth month</td> <td></td> </tr> <tr> <td>128 1,850</td> <td>228 925</td> <td></td> <td>Extension for response within fifth month</td> <td></td> </tr> <tr> <td>119 300</td> <td>219 150</td> <td></td> <td>Notice of Appeal</td> <td></td> </tr> <tr> <td>120 300</td> <td>220 150</td> <td></td> <td>Filing a brief in support of an appeal</td> <td></td> </tr> <tr> <td>121 260</td> <td>221 130</td> <td></td> <td>Request for oral hearing</td> <td></td> </tr> <tr> <td>138 1,510</td> <td>138 1510</td> <td></td> <td>Petition to institute a public use proceeding</td> <td></td> </tr> <tr> <td>140 110</td> <td>240 55</td> <td></td> <td>Petition to revive - unavoidable</td> <td></td> </tr> <tr> <td>141 1,210</td> <td>241 605</td> <td></td> <td>Petition to revive - unintentional</td> <td></td> </tr> <tr> <td>142 1,210</td> <td>242 605</td> <td></td> <td>Utility issue fee (or reissue)</td> <td></td> </tr> <tr> <td>143 430</td> <td>243 215</td> <td></td> <td>Design issue fee</td> <td></td> </tr> <tr> <td>144 580</td> <td>244 290</td> <td></td> <td>Plant issue fee</td> <td></td> </tr> <tr> <td>122 130</td> <td>122 130</td> <td></td> <td>Petitions to the Commissioner</td> <td></td> </tr> <tr> <td>123 50</td> <td>123 50</td> <td></td> <td>Petitions related to provisional applications</td> <td></td> </tr> <tr> <td>126 240</td> <td>126 240</td> <td></td> <td>Submission of Information Disclosure Stmt</td> <td></td> </tr> <tr> <td>581 40</td> <td>581 40</td> <td></td> <td>Recording each patent assignment per property (times number of properties)</td> <td>40.00</td> </tr> <tr> <td>146 790</td> <td>246 395</td> <td></td> <td>Filing a submission after final rejection (37 CFR 1.129(a))</td> <td></td> </tr> <tr> <td>149 790</td> <td>249 395</td> <td></td> <td>For each additional invention to be examined (37 CFR 1.129(b))</td> <td></td> </tr> <tr> <td colspan="4">Other fee (specify) _____</td> <td></td> </tr> <tr> <td colspan="4">Other fee (specify) _____</td> <td></td> </tr> <tr> <td colspan="4" style="text-align: right;">* Reduced by Basic Filing Fee Paid</td> <td></td> </tr> <tr> <td colspan="4" style="text-align: right;">SUBTOTAL (3)</td> <td>(\$) 40.00</td> </tr> </table>	Large Entity	Small Entity		Fee Description	Fee Paid	Fee Code	Fee Code	Fee (\$)			105 130	205 65		Surcharge - late filing fee or oath		127 50	227 25		Surcharge - late provisional filing fee or cover sheet.		139 130	139 130		Non-English specification		147 2,520	147 2,520		For filing a request for reexamination		112 920*	112 920*		Requesting publication of SIR prior to Examiner action		113 1,840*	113 1,840*		Requesting publication of SIR after Examiner action		115 110	215 55		Extension for response within first month		116 380	216 190		Extension for response within second month		117 870	217 435		Extension for response within third month		118 1,210	218 680		Extension for response within fourth month		128 1,850	228 925		Extension for response within fifth month		119 300	219 150		Notice of Appeal		120 300	220 150		Filing a brief in support of an appeal		121 260	221 130		Request for oral hearing		138 1,510	138 1510		Petition to institute a public use proceeding		140 110	240 55		Petition to revive - unavoidable		141 1,210	241 605		Petition to revive - unintentional		142 1,210	242 605		Utility issue fee (or reissue)		143 430	243 215		Design issue fee		144 580	244 290		Plant issue fee		122 130	122 130		Petitions to the Commissioner		123 50	123 50		Petitions related to provisional applications		126 240	126 240		Submission of Information Disclosure Stmt		581 40	581 40		Recording each patent assignment per property (times number of properties)	40.00	146 790	246 395		Filing a submission after final rejection (37 CFR 1.129(a))		149 790	249 395		For each additional invention to be examined (37 CFR 1.129(b))		Other fee (specify) _____					Other fee (specify) _____					* Reduced by Basic Filing Fee Paid					SUBTOTAL (3)				(\$) 40.00
1. BASIC FILING FEE																																																																																																																																																																																																																																				
Large Entity	Small Entity																																																																																																																																																																																																																																			
Fee Code	Fee Code	Fee Description																																																																																																																																																																																																																																		
101 690	201 345	Utility filing fee																																																																																																																																																																																																																																		
106 310	206 155	Design filing fee																																																																																																																																																																																																																																		
107 480	207 240	Plant filing fee																																																																																																																																																																																																																																		
108 690	208 345	Reissue filing fee																																																																																																																																																																																																																																		
114 150	214 75	Provisional filing fee																																																																																																																																																																																																																																		
SUBTOTAL (1)		(\$) 690.00																																																																																																																																																																																																																																		
Total Claims	Extra Claims	Fee from below	Fee Paid																																																																																																																																																																																																																																	
20 - 20 = 0	0 X	18.00	0																																																																																																																																																																																																																																	
3 - 3 = 0	0 X	78.00																																																																																																																																																																																																																																		
Large Entity	Small Entity																																																																																																																																																																																																																																			
Fee Code	Fee Code	Fee Description																																																																																																																																																																																																																																		
103 18	203 9	Claims in excess of 20																																																																																																																																																																																																																																		
102 78	202 39	Independent claims in excess of 3																																																																																																																																																																																																																																		
104 260	204 130	Multiple Dependent claim, if not paid																																																																																																																																																																																																																																		
109 78	209 39	**Reissue independent claims over original patent																																																																																																																																																																																																																																		
110 18	210 9	**Reissue claims in excess of 20 and over original patent																																																																																																																																																																																																																																		
SUBTOTAL (2)		(\$) 0.00																																																																																																																																																																																																																																		
Large Entity	Small Entity		Fee Description	Fee Paid																																																																																																																																																																																																																																
Fee Code	Fee Code	Fee (\$)																																																																																																																																																																																																																																		
105 130	205 65		Surcharge - late filing fee or oath																																																																																																																																																																																																																																	
127 50	227 25		Surcharge - late provisional filing fee or cover sheet.																																																																																																																																																																																																																																	
139 130	139 130		Non-English specification																																																																																																																																																																																																																																	
147 2,520	147 2,520		For filing a request for reexamination																																																																																																																																																																																																																																	
112 920*	112 920*		Requesting publication of SIR prior to Examiner action																																																																																																																																																																																																																																	
113 1,840*	113 1,840*		Requesting publication of SIR after Examiner action																																																																																																																																																																																																																																	
115 110	215 55		Extension for response within first month																																																																																																																																																																																																																																	
116 380	216 190		Extension for response within second month																																																																																																																																																																																																																																	
117 870	217 435		Extension for response within third month																																																																																																																																																																																																																																	
118 1,210	218 680		Extension for response within fourth month																																																																																																																																																																																																																																	
128 1,850	228 925		Extension for response within fifth month																																																																																																																																																																																																																																	
119 300	219 150		Notice of Appeal																																																																																																																																																																																																																																	
120 300	220 150		Filing a brief in support of an appeal																																																																																																																																																																																																																																	
121 260	221 130		Request for oral hearing																																																																																																																																																																																																																																	
138 1,510	138 1510		Petition to institute a public use proceeding																																																																																																																																																																																																																																	
140 110	240 55		Petition to revive - unavoidable																																																																																																																																																																																																																																	
141 1,210	241 605		Petition to revive - unintentional																																																																																																																																																																																																																																	
142 1,210	242 605		Utility issue fee (or reissue)																																																																																																																																																																																																																																	
143 430	243 215		Design issue fee																																																																																																																																																																																																																																	
144 580	244 290		Plant issue fee																																																																																																																																																																																																																																	
122 130	122 130		Petitions to the Commissioner																																																																																																																																																																																																																																	
123 50	123 50		Petitions related to provisional applications																																																																																																																																																																																																																																	
126 240	126 240		Submission of Information Disclosure Stmt																																																																																																																																																																																																																																	
581 40	581 40		Recording each patent assignment per property (times number of properties)	40.00																																																																																																																																																																																																																																
146 790	246 395		Filing a submission after final rejection (37 CFR 1.129(a))																																																																																																																																																																																																																																	
149 790	249 395		For each additional invention to be examined (37 CFR 1.129(b))																																																																																																																																																																																																																																	
Other fee (specify) _____																																																																																																																																																																																																																																				
Other fee (specify) _____																																																																																																																																																																																																																																				
* Reduced by Basic Filing Fee Paid																																																																																																																																																																																																																																				
SUBTOTAL (3)				(\$) 40.00																																																																																																																																																																																																																																

SUBMITTED BY				Complete (if applicable)	
Typed or Printed Name		Steven D. Yates		Reg. Number 42,242	
Signature		Date 03/02/00		Deposit Account User ID 02-2666	

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.